

Date of meeting	13 September 2018
Paper number	F4

EPRR Core Standards Self-Assessment Submission 2018

For approval:		For assurance:		To note:	
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Accountable Director	Inese Robotham Interim Chief Operating Officer		
Presented by	Inese Robotham Interim Chief Operating Officer	Author	Stuart Allen EPRR Manager

Alignment to the Trust's strategic priorities				
Deliver safe, high quality, compassionate patient care		Design healthcare around the needs of our patients, with our partners	Invest and realise the full potential of our staff to provide compassionate and personalised care	x
Ensure the Trust is financially viable and makes the best use of resources for our patients		Continuously improve our services to secure our reputation as the local provider of choice		

Alignment to the Single Oversight Framework				
Leadership and Improvement Capability		Operational Performance	Quality of Care	x
Finance and use of resources		Strategic Change	Stakeholders	x

Report previously reviewed by		
Committee/Group	Date	Outcome

Assurance: Does this report provide assurance in respect of the Board Assurance Framework strategic risks?	N	BAF number(s)	
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Assurance in respect of: process/outcome/other (please detail)			
Significant assurance <input type="checkbox"/> <i>High level of confidence in delivery of existing mechanisms/objectives</i>	Moderate assurance <input type="checkbox"/> <i>General confidence in delivery of existing mechanisms/objectives</i>	Limited assurance <input type="checkbox"/> <i>Some confidence in delivery of existing mechanisms/objectives</i>	No assurance <input type="checkbox"/> <i>No confidence in delivery</i>

Recommendations	<ul style="list-style-type: none"> Note the progress in the delivery of the EPRR Core Standards and planned actions Note the self-assessment compliance level declared to NHS England Agree to publish the Trust's EPRR core standards statement of compliance in the annual report (for 2018/19) following ratification at Local Health Resilience Partnership
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Executive Summary

The Trust has performed its annual self-assessment against the NHS England 64 EPRR Core Standards. Based on these self-assessments the Trust has submitted to NHS England an overall EPRR core standards compliance of SUBSTANTIAL. There are 4 levels of compliance: Full, Substantial, Partial and Non-Compliant.

Background

The NHS needs to be able to plan for and respond to a wide range of incidents and emergencies that could affect health or patient care. These could be anything from severe weather to an infectious disease outbreak or a major transport accident. Under the Civil Contingencies Act (2004), NHS organisations and sub-contractors must show that they can deal with these incidents while maintaining services to patients. This work is referred to in the health service as ‘Emergency Preparedness, Resilience and Response’ (EPRR).

A set of national core EPRR standards has been developed with an aim to clearly set the minimum EPRR standards expected of each NHS organisation and provider of NHS funded care and each organisation is asked to self-assess against these core standards.

The standards also:

- Enable agencies across the country to share a purpose and co-ordinate activities; and
- Provide a consistent framework for self-assessment, peer review and more formal control processes carried out by the NHS England.

The purpose of this report is to give the Trust Board a position statement on the Trust’s self-assessment against the EPRR core standards and outline actions to be taken to ensure compliance.

Issues and options

At the beginning of August 2018 NHS England sent a letter to all Accountable Emergency Officers (AEO) (Trust COO)) setting out the expectations for the 2018-2019 EPRR assurance process to be used to ensure that NHS England and the NHS organisations in England are prepared to respond to an emergency, and have resilience in relation to continued provision of safe patient care. A copy of the letter is available on request.

The letter mandated a submission to NHS England of a self-assessed declaration of the level of compliance achieved against the national core standards. There are 4 levels of compliance: Full, Substantial, Partial and Non-Compliant.

This year there are 64 core standards for Acute Trusts to RAG rate against. The core standards cover the following areas: Governance; Duty to Risk Assess; Duty to Maintain Plans; Command and Control; Training and Exercising; Response; Warning and Informing; Cooperation; Business Continuity; and CBRN (Chemical, Biological, Radiological, Nuclear). This year’s deep dive looks at Incident Coordination Centres (ICC) and Command Structures. There are 8 additional questions relating to these requiring RAG rating, however these do not form part of the overall assessment.

Each core standard is assessed using the following RAG rating: GREEN = fully compliant with core standards, AMBER = not compliant with core standards but there is an associated

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action plan to achieve compliance within the next 12 months, RED = not compliant with core standards and the Trust will not be able to achieve compliance within the next 12 months. This years completed core standards submission and associated documents are available on request.

The Trust's previous submission of the core standards self-assessment was in September 2017 with an overall self-assessment of substantial compliance. A summary position of the Trust's self-assessed compliance against each core standard for the year's submission is as follows:

RAG	2018
GREEN	61
AMBER	3
RED	0

Amber Core Standards		
Core Standard	Shortfalls	Action being taken
Shelter and Evacuation	The Trust does not have a plan for a whole site evacuation and shelter	A review of whole site evacuation and shelter is required to develop and strategy and operation plan. A working group is to be established.
Data Protection and Security Toolkit – ICT to certify that they are compliant with the Data Protection and Security Toolkit on an annual basis	ICT do not currently provide annual statements of compliance. Statement received from ICT on 30/08/18 saying ICT are partially compliant with this and there is evidence ICT need to collate.	EPRR Manager to meet with ICT Service Manager for a position update and to agree for annual statements of compliance to be provided.
Powered respiratory protective suit (PRPS) availability	Due to a national DH/NHSE procurement issue several Trusts in the Country do not currently have the required number of PRPS.	EPRR Manager is liaising with NHSE and the National Ambulance Resilience Unit (NARU).

Based on these self-assessments the Trust has submitted, to NHS England, an overall EPRR Core Standards compliance of SUBSTANTIAL on Friday 31st August 2018. This level of compliance will be peer reviewed by the CCG and the Health and Care Trust and with each organisation's AEO during September. Further presentations and discussions will be held at the Local Health Resilience Partnership (LHRP) during September and October.

Over the past 12 months the Trust has successfully dealt with several planned and unplanned business continuity events/incidents. These have included planned telephony replacement involving downtime of approximately 50 per cent of the telephony system at one time; burst mains water pipes; confirmed fires and temporary loss of ICT functionality; severe weather events (both heat and snow); and extreme demand on capacity. None of the events/incidents resulted in significant loss to service delivery.

The EPRR Manager will have discussed the detail of the return with the Deputy Trust Chairman before 13 September to provide added assurance.

Recommendations

- Note the progress in the delivery of the EPRR Core Standards and planned actions
- Note the self-assessment compliance level declared to NHS England
- Agree to publish the Trust's EPRR core standards statement of compliance in the annual report (for 2018/19) following ratification at Local Health Resilience Partnership

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Appendices None
